PTO/SB/21 (09-04)
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	Application Number	10/693,050	\
TRANSMITTAL	Filing Date	October 24, 2003	
FORM	First Named Inventor	Olin Palmer	
	Art Unit	3731	
to be used for all correspondence after initial filing)	Examiner Name	Kevin Thao Truong	
al Number of Pages in This Submission	Attorney Docket Number	ACS-65420 (2955C)	

	ages in This Cobinission			Attended Deciret 14			
		EN	ICLOSU	RES (Check all	that apply,	y)	
Fee Transmitt	al Form	Dr	rawing(s))		After Allowance Communication to TC	
Fee A	ttached	Lie	censing-	related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment	/ Reply	Pe	etition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After	· Final			Convert to a I Application		Proprietary Information	
Affid	avits/declaration(s)			Attorney, Revocation Correspondence Add	iress	Status Letter	
Extension of	Time Request	Te	erminal E	Disclaimer		Other Enclosure(s) (please identify below):	
Express Abar	ndonment Request	Request for Refund				Postcard \$180 Check	
Information D	CD, Number of CD(s)						
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Response to Incomplete A	Missing Parts/ Application	CUSTOMER NO. 24201					
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	SIGNATUR	RE OF API	PLICAN	NT, ATTORNEY, O	R AGEN	NT	
Firm Name							
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Printed name THOMAS H. MAJCHER							
Date October 9, 2006					Reg. No.	31,119	

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THOMAS H. MAJCHER

Date

October 9, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (01-06)
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es pursuant to the Consolidated Appropriatons Act, 2005 (H.R. 4818).

FEE TRANSMITTAL for FY 2006

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Complete if Known						
Application Number	10/693,050					
Filing Date	October 24, 2003					
First Named Inventor	Olin Palmer					
Examiner Name	Kevin Thao Truong					
Art Unit	3731					
Attorney Docket No.	ACS-65420 (2955C)					

METHOD OF PAYMENT	check all	that apply)					
Check Credit C	ard \square	Money Order	None	Other	(please identify):		
Deposit Depos	sit Account	Number:	06-2425	Deposit A	ccount Name:	FULWIDER	R PATTON LLP
For the above-identified de	eposit accour	nt, the Director is	hereby author	ized to: (check all	that apply)		
Charge fe	e(s) indicate	d below		Charge	fee(s) indicated b	pelow, except for t	he filing fee
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fee(s) und WARNING: Information on thi information and authorization	s form may	.16 and 1.17 become public. 38.	. Credit card i	nformation shoul	ld not be include	ed on this form.	Provide credit card
FEE CALCULATION (AI	I the fees	below are o	lue upon f	iling or may b	e subject to	a surcharge	.)
1. BASIC FILING, SEARC							
	FILING F	EES Small Entity	SEARCH	Small Entity	EXAMINA	TION FEES Small Entity	
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	<u>Fee (\$)</u>	Fee (\$)	Fees Paid(\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180							
Total Claims	Extra Claim	ns Fee (\$)		Fee Paid (\$)		Fee (\$)	ependent Claims Fee Paid (\$)
20 or HP =		_ x	<u> </u>	\$0.00			
HP = highest number of total classification HP = highest number of	Extra Clain	ns <u>Fee (\$)</u> x\$2	200.00 =	Fee Paid (\$) \$0.00			
HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets	Extra She			n additional 50 or			Fee Paid (\$)
- 100 =	0	/ 50	0	(round up to	a whole	x <u>\$250.00</u>	= <u>\$0.00</u> Fee Paid (\$)
4. OTHER FEE(S) Non-English specification,	\$130 fee	(no small enti	ty discount)				ree raiu (a)
Other (e.g., late filing surch		*	., 4.0000111)				\$180.00

SUBMITTED BY	Λ.					
Signature	Yeur	how	Registration No. (Attorney/Agent)	31,119	Telephone	310 824 5555
Name (Print/Type)		/ THOMAS I	H. MAJCHER		Date	October 9, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/944,953

Confirmation No.: 1542

Applicant

Olin Palmer

Filed

October 24, 2003

Art Unit

3731

Examiner

: Kevin Thao Truong

Title

SELF FURLING UMBRELLA FRAME FOR CAROTID FILTER

Docket No.:

: ACSES-65420 (2955C)

October 9, 2006

Customer No.

: 24201

Los Angeles, California

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure and 37 CFR § 1.97(c)(2), enclosed is Form PTO 1449, listing references which may be material to the patentability of the invention. The Examiner is respectfully requested to consider and cite the references. It is additionally requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials and before the reappropriate 01 FC:1806 180.00 np marks.

This statement is not a representation that all of the information cited is necessarily effective as prior art against the present application or that a prior art search was performed.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(c)(2) and the requisite fee of \$180.00 is enclosed. If any additional fees are due, the Commissioner is authorized to charge any these fee(s) to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LLP

By:

Thomas H. Majcher Registration No. 31,119

THM:lm

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045

Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201

PTO/SB/08a (06-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Application Number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY

October 24, 2003 Filing Date Olin Palmer First Named Inventor 3731 Art Unit Kevin Thao Truong Examiner Name

Complete if Known

10/668,830

(use as many sheets as necessary) ACSES (5/120 (2055C)

Sheet		of		Attorney Dock		ACSES-65420	(2955C)
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Examiner Initials*	Cite No ¹	Number Kind (if km	Code own)	Publication Date MM-DD-YYYY		f Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known						
Application Number	10/668,830					
Filing Date	October 24, 2003					
First Named Inventor	Olin Palmer					
Art Unit	3731					
Examiner Name	Kevin Thao Truong					
Attorney Docket Number	ACSES-65420 (2955C)					

	(use as many sheets as necessary)		Examiner Name		reviii Tilao Tilao i		
Sheet	of		Attorney Docket Number		ACSES-65420 (2955C)		
	U.S. Document Number			PATENT DOCUME	NTS		
Examiner Initials*	Cite No ¹	Number Document Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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C	omplete if Known	
Application Number	10/668,830	
Filing Date	October 24, 2003	
First Named Inventor	Olin Palmer	
Art Unit	3731	
Examiner Name	Kevin Thao Truong	
Attorney Docket Number	ACSES-65420 (2955C)	

(use as many sheets as necessary) Sheet of U.S. PATENT DOCUMENTS Document Number Pages, Columns, Lines, Where Cite **Publication Date** Name of Patentee or Examiner Number Kind Code Relevant Passages or Relevant MM-DD-YYYY Applicant of Cited Document Initials* Figures Appear (if known) 08-24-1999 91. 5,941,869 Patterson et al. 08-24-1999 92. 5,941,896 Kerr 5,944,728 08-31-1999 Bates 93. 94. 5,954,745 09-21-1999 Gertler et al. 95. 5,968,071 10-19-1999 Chevillon et al. 11-02-1999 Homsma et al. 96. 5,976,172 5,980,555 11-09-1999 Barbut et al. 97. 98. 5,989,281 11-23-1999 Barbut et al. 12-14-1999 Daniel et al. 99. 6,001,118 12-28-1999 6,007,557 Ambrisco et al. 100. 101. 6,013,093 01-11-2000 Nott et al. 02-08-2000 Zadno-Azizi et al 102. 6,022,336 02-22-2000 103. 6,027,520 Tsugita et al. 104. 6,042,598 03-28-2000 Tsugita et al. 105. 6,051,015 04-18-2000 Maahs 04-25-2000 Daniel et al. 106. 6,053,932 Ladd 05-09-2000 107. 6,059,814 108. 6,066,158 05-23-2000 Engelson et al 109. 6,074,357 06-13-2000 Kaganov et al. 07-11-2000 Barbut et al. 110. 6,086,605 6,090,097 07-18-2000 Barbut et al. 111. 112. 6,096,053 08-01-2000 Bates 113. 6,099,534 08-08-2000 Bates et al. 08-08-2000 6,099,549 Bosma et al. 114. 09-12-2000 Barbut et al. 115. 6,117,154 10-10-2000 Khosravi 116. 6,129,739 117. 6,136,015 10-24-2000 Kurz 6,136,016 10-24-2000 Barbut et al. 118. 119. 6,142,987 11-07-2000 Tsugita 120. 6,152,946 11-28-2000 Broome et al. 121. 6,152,947 11-28-2000 Ambrisco et al. McGurk et al. 122. 6,165,198 12-26-2000 123. 6,165,200 12-26-2000 Tsugita et al. 6,168,579 01-02-2001 Tsugita et al 124. 125. 6,168,604 01-02-2001 Cano Daniel et al. 126. 6,171,327 B1 01-09-2001 6,171,327 01-09-2001 Daniel 127. 01-09-2001 128. 6,171,328 Addis 129. 6,174,318 01-16-2001 Bates et al. 130. 6,176,849 01-23-2001 Yang et al 131. 6,179,859 B1 01-30-2001 Bates et al. 6,179,859 01-30-2001 Bates et al 132. 6,179,860 133. 01-30-2001 Fulton, III et al 6,179,861 01-30-2001 Khosravi et al 134. 135. 6,187,025 02-13-2001 Machek 6,203,561 03-20-2001 Ramee et al. 136.

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	Application Number	10/668,830				
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	First Named Inventor	Olin Palmer				
	Art Unit	3731				
	Examiner Name	Kevin Thao Truong				
_	Attorney Docket Number	ACSES-65420 (2955C)				

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Sheet		of			Attorney Dock		ACSES-65420	(2955C)
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Examiner Initials*	Cite No ¹	Number	ent Number Kind Code ² (if known)		ublication Date IM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known Application Number 10/668,830 October 24, 2003 Filing Date Olin Palmer First Named Inventor Art Unit 3731 Kevin Thao Truong Examiner Name ACSES-65420 (2955C)

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Substitute f	for form	1449B/PTO			Application Number	10/668,830		
INI		MATION	nica	CLOSURE	Filing Date	October 24, 2003		
					First Named Inventor	Olin Palmer		
51	AIL	MENI BI	AP	PLICANT	Group Art Unit 3731			
	(us	e as many sheets	s as nec	essary)	Examiner Name	Kevin Thao Truong		
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